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PATENT
Attorney Docket No. 80168-0102

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Mark A. Kampe et al.

Application No.: 09/846,254

Filed: May 2, 2001

For: CLUSTER EVENT SERVICE
METHOD AND SYSTEM

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Art Unit: 2152

Examiner: UNASSIGNED

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Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached Form PTO-1449, which the Examiner may deem relevant to the patentability of the above-identified application. One copy of each of the listed documents is submitted herewith.

This information disclosure statement is being filed within one of the following time periods: within three months of the filing date of this application other than a continued prosecution application, or within three months of the date of entry into the national stage of this international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under §1.114. Therefore, no certification under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

Applicants respectfully request that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached form.

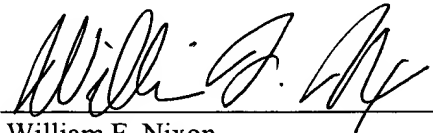
This submission does not represent that a search has been made or that no better art exists and does not constitute an admission the listed documents are material or constitute "prior art." If the Examiner applies the documents as "prior art" against any claims in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such document.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed document, should the document be applied against the claims of the present application.

Except for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§ 1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account No. 50-1349.

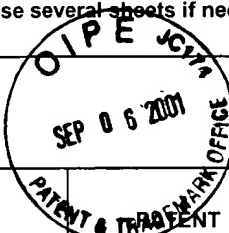
Respectfully submitted,

HOGAN & HARTSON LLP

By: 
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Dated: September 6, 2001

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)				ATTY. DOCKET NO. 80168-0102		APPLICATION NO. 09/846,254	
				APPLICANT Mark A. Kampe et al.			
				FILING DATE May 2, 2001		ART UNIT 2152	
<div style="display: flex; justify-content: space-between; align-items: center;"> <div style="text-align: center;">  </div> <div>U.S. PATENT DOCUMENTS</div> </div>							
EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	6,163,856	December 19, 2000	Dion et al.	714	4		
	6,145,094	November 7, 2000	Shirriff et al.	714	11		
	5,740,348	April 14, 1998	Cunliffe et al.	395	182.04		
FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION							
	DOCUMENT NUMBER	PUBLISHED DATE	COUNTRY	CLASS	SUBCLASS	ABSTRACT	
						Yes	No
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	H. V. Jagadish et al.; "Dali: A High Performance Main Memory Storage Manager"; Proceedings of the 20 th VLDB Conference Santiago, Chile, 1994; Pages 1-12						
	Philip Bohannon et al.; "The Architecture of the Dali Main-Memory Storage Manager," Multimedia Tools and Applications, Vol. 4, No. 2, pp. 115-151 (reprinted as pp. 1-36), March 1997.						
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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